

**North Coast Unified Air Quality Management District**

707 L Street, Eureka, CA 95501  
 (707) 443-3093 FAX (707) 443-3093  
 http://www.ncuaqmd.org



**APPLICATION FOR EMISSION REDUCTION CREDIT (ERC) CERTIFICATE**

ERC APPLICATION TYPE:  NEW  CHANGE OF TITLE  ALTERATION

**INFORMATION REQUIRED FOR A NEW ERC**

EMISSION REDUCTION OBTAINED BY:  Equipment Alteration  Equipment Removal  Mobile Source  
 Facility Out of Business  New APC Device  Process Change  Other, Explain: \_\_\_\_\_

EQUIPMENT DESCRIPTION: \_\_\_\_\_ PERMIT NO.: \_\_\_\_\_  
 \_\_\_\_\_ DATE OF REDUCTION (mo/day/year): / /

**EMISSIONS INFORMATION**

NAME AS IT APPEARS ON ERC CERTIFICATE: <input type="checkbox"/> N/A						
EXISTING ERC CERTIFICATE NO.:	<input type="checkbox"/> N/A	ROG	NOx	SOx	CO	PM10
EXISTING EMITTANT AMOUNT (lbs/day)						
ALTERED EMITTANT AMOUNT (lbs/day)						
REMAINING EMITTANT AMOUNT (lbs/day)						

**FACILITY INFORMATION**

LEGAL NAME OF APPLICANT: \_\_\_\_\_ FACILITY ID NO.: \_\_\_\_\_  IRS OR  SOCIAL SECURITY NO. \_\_\_\_\_

EMISSION REDUCTION LOCATION: ADDRESS & STREET: \_\_\_\_\_  
 CITY: \_\_\_\_\_ STATE: CA ZIP CODE: \_\_\_\_\_

BUSINESS MAILING ADDRESS: ADDRESS & STREET: \_\_\_\_\_  
 CITY: \_\_\_\_\_ STATE: \_\_\_\_\_ ZIP CODE: \_\_\_\_\_

LOCATION WHERE ERC WILL BE USED FOR OFFSET (IF KNOWN): ADDRESS & STREET: \_\_\_\_\_  
 CITY: \_\_\_\_\_ STATE: CA ZIP CODE: \_\_\_\_\_

NAME(S) OF OWNER/PRINCIPAL PARTNERS: \_\_\_\_\_

CONTACT PERSON AND TITLE: \_\_\_\_\_ TELEPHONE NO.: \_\_\_\_\_

DO YOU CLAIM CONFIDENTIALITY OF DATA?  YES  NO If Yes, state nature of data: \_\_\_\_\_

I HEREBY CERTIFY, UNDER PENALTY OF PERJURY, THAT ALL INFORMATION CONTAINED HEREIN AND INFORMATION SUBMITTED WITH THIS APPLICATION ARE TRUE AND CORRECT.  
 SIGNATURE: \_\_\_\_\_ TITLE: \_\_\_\_\_

TYPE OR PRINT NAME OF SIGNER: \_\_\_\_\_ TELEPHONE NO.: \_\_\_\_\_ DATE: \_\_\_\_\_

<b>AQMD USE ONLY</b>	<b>STATUS:</b>	<b>FACILITY ID NO. APPLICATION NO.:</b>	<b>DATE:</b> _____ <b>ENGR:</b> _____	<b>FILING FEE:</b>
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# INSTRUCTIONS FOR THE APPLICATION FOR EMISSION REDUCTION CREDIT (ERC) CERTIFICATE

## FORM 1398

- ❖ Complete application Form 1398 for Emission Reduction Credit (ERC) Certificate of Title for each Permit Unit resulting in :
  - ◆ a new emission reduction, (New ERC); or
  - ◆ a Change of Title of an existing ERC Certificate; or
  - ◆ an Alteration of an existing ERC Certificate.
  
- ❖ Per AQMD Regulation I, Rule 106), every applicant filing an application for affecting emission reductions, banking emissions, obtaining emissions, obtaining emission reduction credits (ERCs), or registering ERCs, shall pay a processing fee in accordance with Regulation IV, Rule 404.
  
- ❖ If an application is canceled, the processing fee shall not be refunded or applied to any subsequent application unless it is determined that such application is not required pursuant to Air Quality Management District rules.
  
- ❖ For a New ERC certificate resulting from a new emission reduction, each application shall include the following information:
  - ◆ how the requested emission credits were calculated
  - ◆ how the emission reduction was achieved
  - ◆ when the reduction occurred
  - ◆ operation records for the two years immediately preceding the reduction for each affected permit unit
  - ◆ original permit (if applicable) for each affected permit unit.
  
- ❖ Identify all equipment by AQMD permit number.
  
- ❖ For a New ERC certificate resulting from a new emission reduction, each application shall be submitted:
  - ◆ no more than 365 days after the emission reduction occurs pursuant to Regulation I, Rule 106, Section 12, and
  - ◆ by the owner of the equipment, regardless of who operates the equipment, per California Health & Safety Code 40710.
  
- ❖ For a Change of Title of an existing ERC certificate, each application shall include a sales contract agreement signed by both parties and the applicant's Non Negotiable Copy of the ERC.
  
- ❖ For an Alteration of an existing ERC certificate, each application shall include the applicant's Not Negotiable Copy of the ERC.
  
- ❖ Send completed application to:

North Coast Unified AQMD  
707 L Street  
Eureka, CA 95501
  
- ❖ If you need assistance in completing your application Form 1398, please call **(707) 443-3093**.